

01-19-01

PATENT

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Asst. Commissioner for Patents, BOX NON-FEE AMENDMENT, Washington, D.C. 20231.

RHEA A. WILSON

Type or print name of person mailing paper

Shea A. Nelson

Signature of person mailing paper

January 26, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No. : 09/641,469

Atty. Docket: 202N-095

Filed : August 17, 2000

Inventors : Douglas J. Herst

Inventors : Douglas J. Herst
Peter Y.Y. Ngai
Hue Ly

Title : LUMINAIRE HAVING A MOCK LIGHT SOURCE FOR IMPROVED SOURCE BRIGHT CONTROL

Oakland, California
January 26, 2001

Asst. Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Applicants submit herewith the patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect to which there may be a duty to disclose in accordance with 37 CFR 1.56.

This Information Disclosure Statement is not intended to constitute an admission that any patent, publication or other information referred to herein is material or is "prior art" for this

PATENT

invention unless specifically designated as such. In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(b) exists.

Applicants set forth a list of patent(s) and/or publications on the attached Form PTO-1449 (modified). A copy of each of the items on PTO-1449 (modified) is supplied herewith.

Respectfully submitted,



Donald L. Beeson, Reg. No. 27,692
Attorney for Applicants
One Kaiser Plaza, Suite 2360
Oakland, California 94612
(510) 832-8700